



## PATENT

### CERTIFICATE OF MAILING

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Jane A. Walker February 20, 2004  
Jane A. Walker Date

**Serial No:** 10/681,431  
**Filed:** October 8, 2003  
**Applicant:** Moore  
**Title:** N-Desmethyl Levomepromazine  
**Art Unit:** 1714  
**Conf. No.:** 7143  
**Examiner:** Unknown  
**Atty Dkt:** XANO-33

Cincinnati, Ohio 45202

February 20, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith which is imposed by Rule 56 (37 C.F.R. §1.56), the art listed on the attached form PTO-1449 is called to the attention of the U.S. Patent and Trademark Office in connection with the above identified patent application. Copies of the cited references are provided. No representation is made that the cited art is the only art or that the cited art represents the best art.

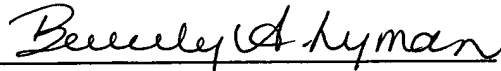
The Examiner is urged to consider the cited references and to make an independent decision with respect to their materiality.

Applicant does not believe there is any fee due with this submission.

Should any fees or surcharges be deemed necessary, Examiner has authorization to charge fees or credit any overpayment to Deposit Account No. 23-3000. The Examiner is invited to telephone the undersigned attorney if there are any questions or issues.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

A handwritten signature in cursive script, reading "Beverly A. Lyman".

Beverly A. Lyman  
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|--|-----|---|---------------------|--------------------------------|-------|--------------------------|-------------------------------|
| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)   |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |                     | ATTY. DOCKET NO.<br>XANO/33    |       | SERIAL NO.<br>10/681,431 |                               |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br><br>(Use several sheets if necessary)  |     |   |                     | APPLICANT<br>Keith Moore       |       |                          |                               |
| (37 CFR 1.98(b))   |     |   |                     | FILING DATE<br>October 8, 2003 |       | GROUP<br>1714            |                               |
| U.S. PATENT DOCUMENTS  |     |   |                     |                                |       |                          |                               |
| EXAMINER<br>INITIAL  |     | PATENT NUMBER   | ISSUE<br>DATE       | PATENTEE                       | CLASS | SUBCLASS                 | FILING DATE<br>IF APPROPRIATE |
|  | A.A |   |                     |                                |       |                          |                               |
|  | A.B |   |                     |                                |       |                          |                               |
|  | A.C |   |                     |                                |       |                          |                               |
|  | A.D |   |                     |                                |       |                          |                               |
|  | A.E |   |                     |                                |       |                          |                               |
|  | A.F |   |                     |                                |       |                          |                               |
|  | A.G |   |                     |                                |       |                          |                               |
|  | A.H |   |                     |                                |       |                          |                               |
|  | A.I |   |                     |                                |       |                          |                               |
|  | A.J |   |                     |                                |       |                          |                               |
|  | A.K |   |                     |                                |       |                          |                               |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS   |     |   |                     |                                |       |                          |                               |
|  |     | DOCUMENT<br>NUMBER  | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE    | CLASS | SUBCLASS                 | TRANSLATION<br>(YES/NO)       |
|  | A.L | 06121698  | 06-05-94            | Japan                          | C12Q  | 1/68                     | Abstract only                 |
|  | A.M |   |                     |                                |       |                          |                               |
|  | A.N |   |                     |                                |       |                          |                               |
|  | A.O |   |                     |                                |       |                          |                               |
|  | A.P |   |                     |                                |       |                          |                               |
|  | A.Q |   |                     |                                |       |                          |                               |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |                                |       |                          |                               |
|  | A.R | Dahl, Svein G., <u>Pharmacokinetics of methotrimeprazine after single and multiple doses</u> , Clinical Pharmacology and Therapeutics, 1976; 19:435-442                                   |                     |                                |       |                          |                               |
|  | A.S | Dahl, Svein, G., <u>Active Metabolites of Neuroleptic Drugs: Possible Contribution to Therapeutic and Toxic Effects</u> , Therapeutic Drug Monitoring, 1982; 1:33-40                      |                     |                                |       |                          |                               |
|  | A.T | Dahl, Svein G, et al., <u>Plasma and Erythrocyte Levels of Methotrimeprazine and Two of Its Nonpolar Metabolites in Psychiatric Patients</u> , Therapeutic Drug Monitoring, 1982; 4:81-87 |                     |                                |       |                          |                               |
| EXAMINER   |     |   |                     | DATE CONSIDERED                |       |                          |                               |
| EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered.<br>Include a copy of this form with next communication to applicant. |     |   |                     |                                |       |                          |                               |

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|   | B.A |   |                     |                                |                          |          |                               |
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|   | B.J |   |                     |                                |                          |          |                               |
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|   | B.P |   |                     |                                |                          |          |                               |
|   | B.Q |   |                     |                                |                          |          |                               |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)   |     |   |                     |                                |                          |          |                               |
|   | B.R | Gennaro, Alfonso R, Ed., <u>Chapter 45 Oral Solid Dosage Forms</u> , Remington: The Science and Practice of Pharmacy, 20th Edition, 2000, Mack Publishing Co., PA, pp.878-893 |                     |                                |                          |          |                               |
|   | B.S | Gibson, Ed., <u>Pharmaceutical Preformulation and Formulation</u> , IHS Health Group, Englewood CO, 2001 pp. 331-354, 379-458   |                     |                                |                          |          |                               |
|   | B.T | Hals, PA et al., <u>Metabolism of levomepromazine in man</u> , European Journal of Drug Metabolism and Pharmacokinetics, 1995; 20:61-71                                       |                     |                                |                          |          |                               |
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|  | C.A |   |                     |                                |                          |               |                               |
|  | C.B |   |                     |                                |                          |               |                               |
|  | C.C |   |                     |                                |                          |               |                               |
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|  | C.J |   |                     |                                |                          |               |                               |
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|  | C.M |   |                     |                                |                          |               |                               |
|  | C.N |   |                     |                                |                          |               |                               |
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|  | C.P |   |                     |                                |                          |               |                               |
|  | C.Q |   |                     |                                |                          |               |                               |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |                                |                          |               |                               |
|  | C.R | Sztruhar, I et al., <u>Liquid chromatographic resolution of the enantiomers of psychotropic drug levomepromazine (methotrimeprazine) with beta-cyclodextrin-bonded chiral stationary phase</u> , Journal of Pharmaceutical & Biomedical Analyses, 1993; 3:263-266 |                     |                                |                          |               |                               |
|  | C.S |   |                     |                                |                          |               |                               |
|  | C.T |   |                     |                                |                          |               |                               |
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